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U.S. PTO

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 36]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Sheets 2]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

Attorney Docket No.	10830.0109.NPUS00
First Inventor	Jean-Pierre Bono
Title	Multi-Processor System...
Express Mail Label No.	EV 318617905 US

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

16834  
10/8/04  
U.S. PTO  
108304

**ADDRESS TO:**

- 16834  
10/8/04  
U.S. PTO  
108304
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
  8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
    - a.  Computer Readable Form (CRF)
    - b. Specification Sequence Listing on:
      - i.  CD-ROM or CD-R (2 copies); or
      - ii.  Paper
    - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of (when there is an assignee)  Attorney
11.  English Translation Document (*if applicable*)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
15.  Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No.: .....

Prior application information:

Examiner \_\_\_\_\_

Art Unit: \_\_\_\_\_

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number:	27927	<b>OR</b>	<input type="checkbox"/> Correspondence address below
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	
Name (Print/Type)	Richard C. Auchterlonie	Registration No. (Attorney/Agent)	30,607
Signature	<i>Richard C. Auchterlonie</i>		Date      March 26, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

032604

# FEE TRANSMITTAL

## for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1148.00)

## Complete if Known

Application Number	
Filing Date	March 26, 2004
First Named Inventor	Jean-Pierre Bono
Examiner Name	
Art Unit	
Attorney Docket No.	10830.0109.NPUS00

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number	05-0889/EMC-03-145
Deposit Account Name	EMC CORPORATION

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Fee Code (\$)	Small Entity	Fee Code (\$)	Fee Description	Fee Paid
	1001	770	2001	385	Utility filing fee
	1002	340	2002	170	Design filing fee
	1003	530	2003	265	Plant filing fee
	1004	770	2004	385	Reissue filing fee
	1005	160	2005	80	Provisional filing fee
SUBTOTAL (1)				(\$ 770.00)	

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	34	-20** =	14	x	18.00	=	252.00	Extra Claims	Fee from below	Fee Paid
Independent Claims	4	- 3** =		x	86.00	=	86.00			
Multiple Dependent										

Large Entity	Small Entity	Fee Description			
	1202	18			
	2202	9			
		Claims in excess of 20			
	1201	86			
	2201	43			
		Independent claims in excess of 3			
	1203	290			
	2203	145			
		Multiple dependent claim, if not paid			
	1204	86			
	2204	43			
		** Reissue independent claims over original patent			
	1205	18			
	2205	9			
		** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2)				(\$ 338.00)	

\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Fee Code (\$)	Small Entity	Fee Code (\$)	Fee Description	Fee Paid
	1051	130	2051	65 Surcharge - late filing fee or oath	
	1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet	
	1053	130	1053	130 Non-English specification	
	1812	2,520	1812	2,520 For filing a request for ex parte reexamination	
	1804	920*	1804	920* Requesting publication of SIR prior to Examiner action	
	1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action	
	1251	110	2251	55 Extension for reply within first month	
	1252	420	2252	210 Extension for reply within second month	
	1253	950	2253	475 Extension for reply within third month	
	1254	1,480	2254	740 Extension for reply within fourth month	
	1255	2,010	2255	1,005 Extension for reply within fifth month	
	1401	330	2401	165 Notice of Appeal	
	1402	330	2402	165 Filing a brief in support of an appeal	
	1403	290	2403	145 Request for oral hearing	
	1451	1,510	1451	1,510 Petition to institute a public use proceeding	
	1452	110	2452	55 Petition to revive - unavoidable	
	1453	1,330	2453	665 Petition to revive - unintentional	
	1501	1,330	2501	665 Utility issue fee (or reissue)	
	1502	480	2502	240 Design issue fee	
	1503	640	2503	320 Plant issue fee	
	1460	130	1460	130 Petitions to the Commissioner	
	1807	50	1807	50 Processing fee under 37 CFR 1.17(q)	
	1806	180	1806	180 Submission of Information Disclosure Stmt	
	8021	40	8021	40 Recording each patent assignment per property (times number of properties)	40.00
	1809	770	2809	385 Filing a submission after final rejection (37 CFR 1.129(a))	
	1810	770	2810	385 For each additional invention to be examined (37 CFR 1.129(b))	
	1801	770	2801	385 Request for Continued Examination (RCE)	
	1802	900	1802	900 Request for expedited examination of a design application	
Other fee (specify) _____					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

## SUBMITTED BY

Name (Print/Type)	Richard C. Auchterlonie	Registration No. (Attorney/Agent)	30,607	Telephone	713.787.1698
Signature			Date	March 26, 2004	

(Complete if applicable)

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